

**ALABAMA SECURITIES COMMISSION  
NOTICE OF FEE and ADDRESS CHANGES**

**PURSUANT TO AN ACT OF THE ALABAMA LEGISLATURE, THE FOLLOWING REGISTRATION FEES WILL BE CHANGED TO THE AMOUNTS INDICATED. ALL OTHER FEES REMAIN THE SAME. LICENSURE AND RENEWAL FEES ARE EFFECTIVE JUNE 1, 2018**

Broker Dealer Agents §8-6-3	\$ 70.00
Investment Adviser Representatives §8-6-3	\$ 70.00
Issuer Agent §8-6-3	\$ 70.00

**EXEMPT SECURITIES §8-6-10**

Open End Management Investment Company fees are based on total net assets.

Less than or equal to \$25 million	\$ 350.00
Greater than \$25 million, less than \$100 million	\$ 700.00
Greater than or equal to \$100 million, but less than \$250 million	\$1,200.00
Greater than or equal to \$250 million	\$2,000.00
Unit Investment Trust	\$ 200.00

**PLEASE NOTE FOR YOUR RECORDS THAT THE ALABAMA SECURITIES COMMISSION WILL BE MOVING TO A NEW PHYSICAL ADDRESS SOMETIME BETWEEN AUGUST 31 AND SEPTEMBER 30. OUR MAILING ADDRESS WILL REMAIN THE SAME:**

ALABAMA SECURITIES COMMISSION	ALABAMA SECURITIES COMMISSION
445 DEXTER AVENUE, SUITE 12000	P.O. BOX 304700
MONTGOMERY, ALABAMA 36104	MONTGOMERY, AL 36130-4700

Log onto [www.asc.state.al.us](http://www.asc.state.al.us) to view all fees and changes for Code of Alabama, 1975.

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