

**SEPTEMBER 23, 2020 NOTICE OF COMMISSION ACTION  
ALABAMA SECURITIES COMMISSION**

Summary of Alabama Securities Commission Meeting on September 23, 2020

On September 23, 2020, and pursuant to Governor Ivey's March 2020 Proclamations and Supplemental Orders, the Alabama Securities Commission held a Regular Quarterly Commission Meeting. Due to COVID-19 safety concerns, the meeting was held virtually through the ZOOM platform.

The meeting was called to order at 10:02 a.m. by Chair McCain and she noted that a quorum is present. The current agenda and minutes from June 25, 2020 were unanimously approved.

Director Borg welcomed the Commission. Director Borg provided information on the Commission's operations during COVID-19 along with related COVID scam activities.

Deputy Director Reed outlined the Commission's Teleworking Plan for the ASC staff.

Public Affairs Manager, Dan Lord gave a presentation to the Commission with regard to the events that have been undertaken by the Commission regarding outreach programs and Faith Feaga, Public Information Specialist, outlined the She Can program that has been launched.

The Commission report was delivered and included updates of the Accounting, Registration, Enforcement, IT, Investor Education and Public Affairs divisions.

At the conclusion of the quarterly report, Director Borg then deferred to Chair McCain for further comments or discussion. No further discussions were held and Chair McCain requested a motion to pay appropriate Commissioners expenses which was made, seconded and unanimously passed. Chair McCain then requested a motion to adjourn, which was made, seconded and passed unanimously. The meeting adjourned at 11:03 a.m., September 23, 2020.