

Open End Management Investment Company fees are based on total net assets:

- **\$350.00 - Less than or equal to \$25 million**
- **\$700 – Greater than \$25 million, less than \$100 million**
- **\$1,200.00 – Greater than or equal to \$100 million, but less than \$250 million**
- **\$2,000.00 – Greater than or equal to \$250 million**
- **\$200.00 Unit Investment Trust (UIT)**

NOTICE OF FEE CHANGES

LICENSURE AND RENEWAL FEES WERE EFFECTIVE JUNE 1, 2018

- **Broker Dealer Agents §8-6-3 - \$70.00**
- **Investment Advisor Representatives §8-6-3 - \$70.00**
- **Issuer Agent §8-6-3 - \$70.00**