

ALABAMA SECURITIES COMMISSION
Post Office Box 304700
Montgomery, Alabama 36130-4700
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Arizona Man Pleads Guilty in Jefferson County to Securities Fraud

MONTGOMERY, ALABAMA (February 21, 2014) Brandon K. Falls, District Attorney for the 10th Judicial Circuit, Jefferson County, Alabama; and Joseph Borg, Director of the Alabama Securities Commission (ASC) announced that on February 20, 2014, Donald Leroy Jenkins, 65, of Prescott, Arizona, pled guilty to one count of Fraud in Connection With the Sale of Securities before Jefferson County Circuit Court Judge, Laura Petro. Jenkins was sentenced to five years imprisonment, split to time served with the remainder suspended and two years' probation. Jenkins made full restitution of \$5,674 to the Court.

Jenkins omitted to state a material fact, in that he did not reveal to his victim that the security he offered was not registered with the ASC, as required by the Alabama Securities Act. ASC registration records indicated that neither Jenkins nor his company was registered to conduct securities business within, into or from Alabama as required by law. Jenkins was apprehended January 13, 2014 by law enforcement authorities in San Diego, California, based on a December, 2013 Jefferson County Grand Jury indictment. Jenkins, acting as agent for an out-of-state company, attempted to raise capital for a gold mining operation in Yavapai County, Arizona. The indictment also asserted that an Alabama investor was contacted and offered unregistered and non-exempt stock certificates and investment contracts.

The ASC cautions potential investors to thoroughly scrutinize and research any investment opportunity or offer. Contact the ASC with inquiries concerning securities broker-dealers, agents, investment advisers, investment adviser representatives, financial planners, registration status of securities or debt management programs, to report suspected fraud or to obtain consumer information. The ASC provides free investor education and fraud prevention materials in print, on our website and through educational presentations upon request.

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For further information contact Dan Lord, Education and Public Affairs Manager, 334-353-4858.

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