

ALABAMA SECURITIES COMMISSION
445 DEXTER AVE., SUITE 12000
MAIL: POST OFFICE BOX 304700
MONTGOMERY, ALABAMA 36130-4700
Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240
Email: asc@asc.alabama.gov Website: www.asc.alabama.gov

Alabama Joins in National "Facts on Saving and Investing" Investor Education Initiative; Governor Ivey signs Proclamation.

MONTGOMERY, ALABAMA (March 29, 2019) Alabama Securities Commission (ASC) Director Joseph Borg and Deputy Director Amanda Senn, announced today that the Securities Commission is taking part in a national grass-roots investor education campaign to help provide current and future investors with the information they need to make wise financial decisions and protect themselves from financial fraud.

Alabama Governor Kay Ivey signed a proclamation on March 27, 2019 declaring the month of April 2019 as 'Facts on Saving and Investing Campaign Month.' A photo of the proclamation will be posted on the ASC website with this release, along with other valuable information at www.asc.alabama.gov. The month-long investor education campaign, the 'Facts on Saving and Investing Campaign,' began as a joint effort of State and Canadian securities regulators over 20 years ago.

Borg said, "We are pleased once again to partner with members of the Alabama Jump\$tart Coalition to visit schools and participate in media events to generate an awareness in all Alabama citizens to learn and apply proven savings and investment strategies. Effective management of income and assets will have a major impact on the quality of life today and tomorrow. The Commission and members of the Alabama Jump\$tart Coalition are also proud to provide a vast array of professionally-produced educational materials free of charge."

"With fluctuating worldwide markets, the highs and lows of the stock market, longer life expectancies, and the uncertain future of Social Security and pension plans, smart planning for retirement is more vital than ever," said Borg. Deputy Director Senn stated "Financial education has never been more important. Investors need to understand the basics of saving and investing, know how to check out an investment or salesperson and how to protect themselves against possible fraud."

"It is never too early, or too late, to start saving and investing for your future" said Senn. ASC staff is available to visit high schools and colleges, make presentations to all types of organizations representing a wide cross-section of Alabama citizens, and conduct news media

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interviews. All these activities occur year-round to educate Alabamians about topics such as investing, credit and fraud prevention. “Making smart financial choices – from using credit wisely to saving and investing on a monthly basis for retirement and avoiding fraud – is the best way to ensure you can meet your financial goals,” says Borg.

The ASC enforces Alabama’s securities laws, licenses/registers brokers, firms, and securities products, provides background reports on financial professionals and promotes investor education and fraud prevention programs.

Potential investors can contact the ASC for inquiries regarding securities broker-dealers, agents, investment advisors, investment advisor representatives, financial planners, the registration status of securities, to report suspected fraud, or obtain consumer information.

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For further information contact Dan Lord, Education and Public Affairs Manager, (334) 353-4858 or email: dan.lord@asc.alabama.gov.

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