

**ALABAMA SECURITIES COMMISSION**

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Email: [asc@asc.alabama.gov](mailto:asc@asc.alabama.gov) Website: [www.asc.alabama.gov](http://www.asc.alabama.gov)

**Man Indicted in Baldwin County (2013), Apprehended and Arrested in Palmdale, California**

MONTGOMERY, ALABAMA (April 1, 2016) Hallie Dixon, District Attorney for the 28th Judicial Circuit, Baldwin County, Alabama; and Joseph Borg, Director of the Alabama Securities Commission (ASC) announced that on March 31, 2016, officials of the Los Angeles County, California Sheriff's Department arrested fugitive Deshaun Williams in Palmdale, California for alleged violations of securities law in Alabama. He was transported to a jail facility in Palmdale, California pending extradition to Baldwin County, Alabama. Williams' arrest was made possible by members of the Los Angeles County, California Sheriff's Department Major Crimes Bureau and the U.S. Marshal's Fugitive Task Force.

In December 2013, Williams was indicted by a Baldwin County, Alabama Grand Jury on one count each of Sale of Unregistered Securities and Sale of Securities by an Unregistered Agent. Both charges are Class C felonies, punishable by one year and one day to 10 years' imprisonment and not more than a \$15,000 fine per charge, upon conviction. Williams was also charged with one count of Fraud in Connection with the Sale of Securities, a Class B felony, punishable by two to 20 years' incarceration and not more than a \$30,000 fine per charge, upon conviction.

The ASC cautions potential investors to thoroughly scrutinize and research any investment opportunity or offer. Contact the ASC with inquiries concerning securities broker-dealers, agents, investment advisers, investment adviser representatives, financial planners, registration status of securities or debt management programs, to report suspected fraud or to obtain consumer information. The ASC provides free investor education and fraud prevention materials in print, on our website and through educational presentations upon request.

For further information contact Dan Lord, Education and Public Affairs Manager, 334-353-4858.

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(NOTE: An arrest or indictment is not evidence that the defendant committed the crime(s) charged. The defendant is presumed innocent until the government meets its burden of proving guilt beyond reasonable doubt in court).

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