

ALABAMA SECURITIES COMMISSION

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Alabama Securities Commission Welcomes New Commissioner

MONTGOMERY, ALABAMA (May 3, 2019) Joseph P. Borg, Director of the Alabama Securities Commission (ASC) is pleased to announce the appointment of W. Allen Carroll, Jr. as a Commissioner with the ASC. Commissioner Carroll is a partner with Wilkins Miller, a Mobile, Alabama based CPA firm. Director Borg stated: "We welcome Commissioner Carroll to the ASC and look forward to working with him over the next few years. We believe that his knowledge and experience will provide another great resource in protecting investors and promoting capital formation in Alabama."



Commissioner Carroll was selected for appointment by Governor Ivey after being recommended by the Alabama Society of Certified Public Accountants. His appointment was confirmed by the Alabama Senate on May 2, 2019, and his four-year term begins immediately.

Commissioner Carroll's practice with Wilkins Miller includes a focus on forensic accounting and consulting services. He has served as a witness and consulting expert in a variety of commercial disputes in both civil and criminal litigation. He has testified and consulted on issues ranging from the determination of economic damages, lost profits, accounting malpractice, white-collar criminal matters, forensic investigations and business valuations.

Commissioner Carroll received a degree in business administration from the University of South Alabama. He is a Certified Public Accountant, a member of the Association of International Certified Professional Accountants and the Alabama Society of CPAs. He is Accredited in Business Valuation, Certified in Financial Forensics by the American Institute of CPAs and is a Certified Valuation Analyst.

Commissioner Carroll is preceded by former Chairman James Hart who served the two-term maximum with the ASC. "We extend a special thanks to Chairman Hart for his dedication and distinguished service to the Commission and we are incredibly grateful for the work he has done on behalf of the citizens of Alabama," said Director Borg.

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For further information contact Dan Lord, Education and Public Affairs Manager, 334-353-4858.

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