ALABAMA SECURITIES COMMISSION

Post Office Box 304700 Montgomery, Alabama 36130-4700 Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240 Email: asc@asc.alabama.gov Website: www.asc.alabama.gov



Commissioner Hart of the Alabama Securities Commission is Reappointed by Governor Bentley and Confirmed by the Alabama Senate

MONTGOMERY, ALABAMA (May 7, 2015) Honorable James L. Hart of Vestavia Hills, Alabama has been reappointed for another 4 year term by Governor Bentley and confirmed by the Alabama Senate. Commissioner Hart will continue serving as Commissioner and current Chairman to the Alabama Securities Commission (ASC). Joseph P. Borg, Director of the Alabama Securities Commission, said, "Commissioner Hart's extensive experience in forensic accounting and litigation services will continue to enhance the excellent reputation of ASC as one of the most effective state securities regulatory agencies in the United States." Commissioner Hart was first appointed by former Governor Riley in October of 2009.

James Hart is Senior Partner with Dent, Baker & Company, having recently completed 15 years of service as the firm's Managing Partner and CEO. His specialties include forensic accounting, strategic consulting services, firm management and quality control.

He has a long history of service to the accounting profession. He is a member of the Alabama Society of Certified Public Accountants (ASCPA), where he has held multiple offices including board member and president, the American Institute of Certified Public Accountants (AICPA) and its Forensic and Valuation Division, where he was awarded its Certified in Financial Forensics (CFF) and Accredited in Business Valuation (ABV) credentials. He served the profession as a Quality and Peer Review Team Captain for more than 20 years. He also earned the Certified Business Appraiser (CBA) credential from the Institute of Business Appraisers (IBA). Within the PKF North American Network, Hart served as chairman of three of its senior committees, along with a multi-year term on its governing board.

In recognition of his years of service to the profession and the community, he received a number of honors including being named the Outstanding Business Alumnus and the Distinguished Accounting Alumnus in separate years by Samford University's Brock School of Business, where he earned his B.S. in Business and Accounting. In fact, after 35 years of continuous service on its board, he was named to the founding board for its Center for Ethics and Leadership.

He has led the firm for 15 years as its Managing Partner, during which time the firm regularly placed among the top regional CPA firms in service and performance metrics, capping it all by winning the top spot for the firm in the 2009 "Best in Business" award for greater Birmingham. Now he is a Senior Partner, allowing more time for high profile financial litigation, public service, strategic planning with clients and mentoring the next generations of firm leaders. Hart earned a regional reputation as an expert on matters dealing with corporate accountability and ethics.

A native of Huntsville, Alabama, Hart and his wife call Vestavia Hills home. They have four adult children and one grandchild. When not assisting clients, he can likely be found boating and fishing at Lay Lake or on the Gulf coast

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For further information contact Dan Lord, Education and Public Affairs Manager, 334-353-4858.

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