

ALABAMA SECURITIES COMMISSION

Post Office Box 304700

Montgomery, Alabama 36130-4700

Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240

Email: asc@asc.alabama.gov Website: www.asc.alabama.gov

**Alabama Senate Confirms Hope S. Marshall to Serve as Commissioner
for the Alabama Securities Commission**

MONTGOMERY, ALABAMA (May 8, 2020) - Joseph P. Borg, Director of the Alabama Securities Commission (ASC), is pleased to announce the appointment of Hope S. Marshall as an ASC Commissioner. She was recommended by her professional peers from the Alabama State Bar and appointed by Governor Kay Ivey. The Alabama Senate confirmed Commissioner Marshall's appointment on May 5, 2020. Commissioner Marshall is an attorney with the Birmingham-based law firm White Arnold & Dowd P.C.

Director Borg stated: "We welcome Commissioner Marshall to the ASC and her leadership experience and legal expertise will complement the successful operation of ASC to help protect Alabama investors and promote capital formation in Alabama."



*ASC Commissioner
Hope S. Marshall*

Commissioner Marshall joined the law firm of White Arnold & Dowd P.C. as an attorney in 2007. Marshall's practice focuses on criminal defense, white collar criminal defense, and general civil litigation. She represents companies and individuals in connection with criminal investigations, as well as parallel civil and criminal proceedings. She practices in municipal, state and federal courts, including appellate courts.

Commissioner Marshall is active in many legal and community organizations including the Magic City Bar Association, Birmingham Bar Association, Alabama Lawyers Association, Alabama State Bar, American Bar Association, National Association of Criminal Defense Lawyers, Muscular Dystrophy Association, the Lorie Johnson Foundation, and the First Light Women's Shelter. Some of the many accolades and awards she has received are the Martindale-Hubbell AV Peer Review Rating, *Birmingham Magazine* Top Attorneys (2020), *Mid-South Super Lawyers* Rising Star (2016-2019), America's Top 100 Criminal Defense Attorneys (Alabama), *Alabama Super Lawyers* Rising Star (2013-2015).

Commissioner Marshall is preceded by former Commissioner R. Austin Huffaker, Jr. who was nominated to serve as United States District Judge for the Middle District of Alabama by President Donald J. Trump. "We extend a special thanks to Judge Huffaker for his dedication and distinguished service to the Commission and we are incredibly grateful for the work he has done on behalf of the citizens of Alabama," said Director Borg.

ASC Commission members are designated under Alabama law to include the State Superintendent of Banks, the State Commissioner of Insurance, and the Attorney General of Alabama. In addition, the Governor has four appointments, two each from nominees selected by the Alabama State Bar and the Alabama Society of Certified Public Accountants, by and with the advice and consent of the Senate.

- more -

The ASC cautions citizen investors of all ages and experience to thoroughly scrutinize and research any investment opportunity or offer. Contact the ASC at 1-800-222-1253 to check out any person or financial professional offering an investment opportunity or investment advice for a fee, and the products they offer for proper registration. Contact the ASC to report suspected fraud, inappropriate securities business practices or to obtain consumer information. The ASC provides free investor education and fraud prevention materials in print, on our website and through educational presentations upon request.

###

For further information contact Dan Lord, Education and Public Affairs Manager, 334-353-4858.

ALABAMA SECURITIES COMMISSION

Post Office Box 304700

Montgomery, Alabama 36130-4700

Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240

Email: asc@asc.alabama.gov Website: www.asc.alabama.gov