

ALABAMA SECURITIES COMMISSION

Post Office Box 304700 Montgomery, Alabama 36130-4700
Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240
Email: asc@asc.alabama.gov Website: www.asc.alabama.gov

Commissioner Rowe Elected to Serve as Chairman of the Alabama Securities Commission

Montgomery, AL (June 24, 2022) – Alabama Securities Commissioner, S. Dagnal Rowe, has been elected Chairman of the Alabama Securities Commission (ASC) by a unanimous vote of his fellow commissioners.

Rowe has previously served on the Commission and is now in his third full term. He was appointed by Governors Riley, Bentley and Ivy.

“With his extensive legal background, savvy business sense and outgoing personality, having Dag serve as a Commissioner has helped ensure the continued success of the ASC, which is recognized throughout the state and nationwide,” said ASC Director Joseph P. Borg. “His leadership and selfless service will continue to ensure our agency can protect investors from securities fraud and preserve legitimate markets in our state.” “Dag’s legal experience, commitment to community service, and leadership record make him an excellent candidate for Chairman,” said ASC Chief Deputy Director Amanda Senn.



In accepting the appointment, Rowe said, “It has been an honor to serve on the Commission and I look forward to assuming this leadership role. I will continue to work towards the Commission’s success in keeping rogue actors from taking advantage of Alabama’s residents, pursuing justice for victims, and ensuring that the registration process of legitimate financial professionals remains efficient.”

Rowe is a shareholder in the law firm of Wilmer & Lee in Huntsville and specializes in general business law, commercial law, and estate planning and probate. Rowe is a Past President of the Alabama State Bar with more than 18,000 members. Rowe also served four years as a Judge of the Alabama Court of the Judiciary, and he is Chairman of the Board of Trustees of the University of Alabama in Huntsville Foundation.

The ASC regulates the securities industry in Alabama. ASC is committed to protecting investors against securities fraud and provides aggressive enforcement actions against any firm or individual who has violated the Alabama Securities Act or other state and federal statutes to the detriment of Alabama investors. The Alabama Securities Act provides for the licensing and regulation of securities broker-dealers, agents, investment advisers, and investment adviser representatives, and financial planners.

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For further information contact 1-800-222-1253 and ask for Public Affairs.

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