

ALABAMA SECURITIES COMMISSION

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Alabama Securities Commission Appoints Senn to Deputy Director of Enforcement

MONTGOMERY, ALABAMA (July 9, 2018) Joseph P. Borg, Director of the Alabama Securities Commission (ASC) announced today that Amanda W. Senn has been appointed to the permanent position of Deputy Director of Enforcement for the Commission.



Attorney Senn joined the Alabama Securities Commission in 2009 and has held several positions with the ASC. Since December, 2017 she has served as the Acting Deputy Director, Enforcement and has been the agency’s General Counsel since December of 2014, where she was responsible for advising the Commission on securities matters, and investigating and prosecuting illegal and fraudulent actions surrounding the sales of securities throughout the state of Alabama. Senn has played a critical role in coordinating and litigating numerous multi-jurisdictional administrative, civil, and criminal actions and is actively involved in the North American Securities Administrators Association (NASAA) in which she holds positions in the Broker-Dealer, Investment Adviser, and Enforcement sections, and serves as Chairman of the Cybersecurity and Technology Project Group. As the Acting Deputy Director, she began assisting Director Borg with additional administrative responsibilities in the overall operation of the Commission and supervises the enforcement functions of the Commission.

Director Borg said, “Ms. Senn’s outstanding leadership, performance, and expertise as an attorney earned her the permanent position of Deputy Director.”

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