

STATE OF ALABAMA
ALABAMA SECURITIES COMMISSION

IN THE MATTER OF:)
ASCHERE ENERGY LLC.)
AMG ENERGY LLC)
LEON ALI PARVIZIAN)
ALFREDO GONZALEZ)
<u>RESPONDENTS</u>)

ORDER TO VACATE

The Alabama Securities Commission ("Commission"), having the power to administer and provide for the enforcement of all provisions of Title 8, Chapter 6, Code of Alabama 1975, the Alabama Securities Act ("Act"), upon due consideration of the subject matter hereof, has determined as follows:

RESPONDENTS

1. ASCHERE ENERGY LLC. ("ASCHERE") is a Texas corporation, having business address of 5055 Keller Springs Road Suite 200 Addison, Texas 75001.

2. AMG ENERGY LLC. ("AMG") is a Texas company, having a business address of 3227 McKinney Avenue Suite 201 Dallas, Texas 75204.

3. LEON ALI PARVIZIAN ("PARVIZIAN") at all times relevant, was President of ASCHERE having a business address of 5055 Keller Springs Road Suite 200 Addison, Texas 75001.

4. ALFREDO GONZALEZ ("GONZALEZ") at all times relevant, was President of AMG having a business address of 3227 McKinney Avenue Suite 201 Dallas, Texas 75204.

STATEMENT OF FACTS

5. The Commission received information that in March 2012 **GONZALEZ**, President of **AMG**, while working as a contracted sales agent on behalf of **ASCHERE**, solicited an Alabama resident via cold call to offer an investment in drilling multiple 3-D oil and gas wells utilizing vertical and directional drilling techniques. The project, called Scarborough Fields Joint Venture had a price per unit of \$132,200 with up to 42 units of available joint venture interests.

6. The Alabama resident made the following payments for various investments in the Scarborough Fields Joint Venture:

March 1, 2012	\$33,050.00 for 0.25 units
June 26, 2012	\$33,050.00 for 0.25 units
December 26, 2012	\$40,000.00
February 6, 2014	\$2,970.00
September 15, 2015	\$5,108.00

7. The Alabama resident received confirmation letters memorializing their investments in the Scarborough Fields joint venture. The **ASCHERE** confirmation letters were signed and issued by **PARVIZIAN**.

8. The Scarborough Fields Joint Venture agreements describe the investment offered to the Alabama resident as the participation in units of interest in a joint venture formed under Texas Partnership Act to engage primarily in the business of participation in the drilling of oil and gas wells. The joint venture agreements list **ASCHERE** as the managing venture for Scarborough Fields Joint Venture.

9. On December 15, 2020, Administrative Order No. 2020-0018 was issued to the **RESPONDENTS**. The Order alleged that the **RESPONDENTS** violated Sections 8-6-3 and 8-6-4, Code of Alabama 1975, when they acted as unregistered agents in the offer and/or sale of securities that were neither registered nor subject to a perfected exemption from registration in the State of Alabama.

10. Administrative Order No. 2020-0018 was issued via USPS Certified Mail to all Respondents. At the time, the Commission believed it had affected service on all **RESPONDENTS** due to the return of the certified green cards. On June 14, 2021, Administrative Order No. 2020-0018 was made a final order of the Commission due to no response by all **RESPONDENTS**.

11. On or about August 2021, **GONZALEZ**, claimed to the Commission that service of Administrative Order No. 2020-00018 was never made on him personally and that **AMG** was no longer an existing business. On August 27, 2021, **GONZALEZ** provided the Commission with a written response to Administrative Order No. 2020-00018.

12. The Commission acknowledges the response provided by **GONZALEZ** against the conclusions of law of Administrative Order No. 2020-0018. While the Commission does not agree with the substantive arguments of **GONZALEZ** response, the Commission acknowledges that proper service may not have been achieved as it relates to **RESPONDENT GONZALEZ** only.

The Commission now vacates Administrative Order No. 2020-0018 as it relates to RESPONDENT **GONZALEZ** only. The Cease-and-Desist Order remains in full force and effect as it pertains to RESPONDENTS: **ASCHERE**, **AMG**, and **PARVIZIAN**, named in Administrative Order No. 2020-0018, issued on December 15, 2020.

Entered at Montgomery, Alabama, this 29th day of September, 2022.

ALABAMA SECURITIES COMMISSION
445 Dexter Avenue, Suite 12000
Montgomery, AL 36104
(334) 242-2984

BY:



JOSEPH P. BORG
DIRECTOR

