

ALABAMA SECURITIES COMMISSION

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ALABAMA SECURITIES COMMISSION ANNOUNCES THE APPOINTMENTS OF CHRISTY KUKLINSKI AND W. ALLEN CARROLL, JR.

MONTGOMERY, ALABAMA (APRIL 19, 2024) – Alabama Securities Commission (ASC) Director Amanda Senn is pleased to announce the appointments of Christy Boardman Kuklinski and W. Allen Carroll, Jr. for service as commissioners to the ASC.

Kuklinski was recommended by the Alabama State Bar and selected for appointment by Governor Kay Ivey. Kuklinski’s appointment was confirmed by the Alabama Senate on April 11, 2024. Her four-year term begins immediately.

“We are excited to welcome Commissioner Kuklinski to the ASC. Her extensive knowledge and diverse experience in financial services and securities will contribute significantly to the mission of ASC,” said Director Senn.

Commissioner W. Allen Carroll, Jr. was selected for a second term after being recommended by the Alabama Society of Certified Public Accountants and confirmed by the Alabama Senate on April 11, 2024. Commissioner Carroll’s second four-year term begins immediately.

“We are extremely grateful for Commissioner Carroll’s continued service. His first four years to the State of Alabama were instrumental in protecting investors against securities fraud and ensuring those who violated Alabama’s laws were brought to justice,” said Director Senn. “Allen is a true public servant, and his experience, dedication, and thoughtfulness are exceptional assets for the Commission.”

Commissioner Kuklinski is an attorney with Balch & Bingham LLP, where her practice focuses on securities and other complex litigation, regulatory compliance, and a wide variety of business transactions, including mergers and acquisitions, financings, and corporate governance. She has worked on securities matters across multiple states and involving both federal and various state regulators. Prior to joining private practice, Commissioner Kuklinski clerked with the Honorable R. David Proctor in the Northern District of Alabama, and, prior to attending the University of Alabama School of Law, she worked as an investment banker in New York City.

Commissioner Carroll is a partner with Wilkins Miller, a CPA firm based in Mobile, Alabama. Commissioner Carroll’s practice with Wilkins Miller includes a focus on forensic accounting and consulting services. He has served as a witness and consulting expert in a variety of commercial disputes in both civil and criminal litigation. He has testified and consulted on issues ranging from the determination of economic damages, lost profits, accounting malpractice, white-collar criminal matters, forensic investigations and business valuations.

Alabama securities law provides for the qualifications, term-limits and appointment process of ASC Commissioners. Commissioner Kuklinski is filling the seat formerly held by S. Dagnal Rowe, Sr.

“I especially want to thank Dag for his many years of service to the State of Alabama. His extraordinary wisdom and leadership contributed to the success of the Commission and enhanced its overall operations,” said Director



Senn. “We are extremely grateful for his time, service, and expertise, which he generously donated on behalf of the State of Alabama.”

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For further information contact 1-800-222-1253 and ask for Public Affairs.

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