

## **ALABAMA SECURITIES COMMISSION**

Post Office Box 304700 Montgomery, Alabama 36130-4700  
Telephone: (334) 242-2984 or 1-800-222-1253 Fax: (334) 242-0240  
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### **Commissioner W. Allen Carroll, Jr. Elected as Alabama Securities Commission Chairman**

**Montgomery, Alabama (June 27, 2024)** – Alabama Securities Commissioner W. Allen Carroll, Jr. was elected Chairman of the Alabama Securities Commission (ASC) by a unanimous vote of his fellow commissioners on June 26, 2024.

Chairman Carroll is currently serving his second term on the Commission after being recommended by the Alabama Society of Certified Public Accountants and confirmed by the Alabama Senate on April 11, 2024.

“I am pleased that Allen will be assuming this leadership role on the Commission. His prior experience as a commissioner and his knowledge of the industry are invaluable resources to the ASC’s work of protecting investors from fraud and preserving legitimate markets in Alabama,” said ASC Director Amanda Senn.

In accepting the appointment, Carroll said, “I’m honored that my colleagues have selected me to serve in this role on the Commission. I look forward to working closely with them and the ASC staff as we endeavor to administer effective regulation and enforce Alabama’s securities laws.”

Chairman Carroll is the managing partner at Wilkins Miller, a CPA firm based in Mobile, Alabama. His practice with Wilkins Miller includes a focus on forensic accounting and consulting services. He has served as a witness and consulting expert in a variety of commercial disputes in both civil and criminal litigation. He has testified and consulted on issues ranging from the determination of economic damages, lost profits, accounting malpractice, white-collar criminal matters, forensic investigations, and business valuations.

Carroll graduated from the University of South Alabama with a Bachelor of Science in Business Administration with a concentration in Accounting. He is a Certified Public Accountant and a member of the Association of International Certified Professional Accountants and the Alabama Society of CPAs. He is Accredited in Business Valuation, Certified in Financial Forensics by the American Institute of CPAs, and is a Certified Valuation Analyst.

The ASC cautions investors to thoroughly research any investment opportunity. Call the ASC at 1-800-222-1253 and ask for the registration department to check out persons offering investment opportunities, investment advice for a fee, and any products they offer. Contact the ASC to report suspected fraud, inappropriate securities business practices, or to obtain consumer information. Free investor education and fraud prevention materials are available at [www.asc.alabama.gov](http://www.asc.alabama.gov).

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For further information contact 1-800-222-1253 and ask for Public Affairs.

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