

**STATE OF ALABAMA
ALABAMA SECURITIES COMMISSION**

IN THE MATTER OF:)	
)	
ANTHONY DEWAYNE CURTIS and BUSINESS MAKEOVER LLC)	CONSENT ORDER
)	NO. CO-2024- <u>0014</u>
)	
<u>RESPONDENTS</u>)	

CONSENT ORDER

The Alabama Securities Commission (“Commission”), having authority to administer and provide for the enforcement of all provisions of Title 8, Chapter 6, Code of Alabama 1975, the Alabama Securities Act (“Act”), upon due consideration of the subject matter hereof, and having confirmed information of the offers for sale and/or sale of securities, into, within or from the state of Alabama, has determined as follows:

RESPONDENTS

1. **ANTHONY DEWAYNE CURTIS (“CURTIS”)** is an individual who at all relevant times resided in Santa Clarita, Los Angeles County, California. **CURTIS** has never been registered with the Commission in any capacity.

2. **BUSINESS MAKEOVER LLC (“BUSINESS MAKEOVER,”** and, collectively with **CURTIS**, **“RESPONDENTS”**) was at all relevant times a limited liability company controlled by **CURTIS**. **BUSINESS MAKEOVER** has never been registered with the Commission in any capacity.

STATEMENT OF FACTS

3. In or about April 2023, **RESPONDENTS** entered into a contract with an individual identified herein as “Investor,” a resident of Huntsville, Alabama. In the contract, Investor and **RESPONDENTS** agreed that Investor would provide **RESPONDENTS** with \$20,000 and, within 30 days, **RESPONDENTS** would pay Investor \$60,000.

4. **RESPONDENTS** did not use Investor's money for any investments, and instead used it to cover an overdraft in **BUSINESS MAKEOVER's** bank account, for **CURTIS's** personal expenses, and to pay third parties.

5. A review of the records of the Registration Division of the Alabama Securities Commission was conducted and revealed that the contract between **RESPONDENTS** and Investor was not registered in any capacity with the Commission. Further, the contract was not subject to any perfected exemption from registration.

CONCLUSIONS OF LAW

6. Pursuant to Section 8-6-2(10), Code of Alabama 1975, the definition of a security includes any "investment contract." The contract between **RESPONDENTS** and Investor is an investment contract, and therefore a security, under the Act.

7. Pursuant to Section 8-6-4, Code of Alabama 1975, it is unlawful for any person to offer or sell any security in Alabama unless the security is registered under the Act or an exemption from registration is applicable. **RESPONDENTS** offered and sold the contract with Investor, which was neither registered nor subject to a perfected exemption from registration, in violation of the Act.

WHEREAS, **RESPONDENTS** agree that:

- a. the Statement of Facts set out hereinabove are true and correct;
- b. **RESPONDENTS** shall, within five days of the date of entry of this order, tender the sum of Twenty Thousand Dollars (\$20,000.00) to Investor. If Investor declines to accept the tender, then **RESPONDENTS** shall donate the sum of Twenty Thousand Dollars (\$20,000.00) to the Alabama Council on Economic Education, a local charitable organization approved by the Commission. All payments pursuant to this paragraph shall be made via wire transfer or via check drawn on the trust account of **RESPONDENTS'** counsel.

c. **RESPONDENTS** acknowledge that Investor contends that **RESPONDENTS** owe Investor more than \$20,000. **RESPONDENTS** agree that Investor's acceptance of their payment pursuant to this Order shall not serve as a bar to any action by Investor seeking to recover such funds. This Order is without prejudice to any other defenses **RESPONDENTS** may have to any such action.

d. **RESPONDENTS** will provide full cooperation, including but not limited to interviews, meetings, production of documents, and testimony, in any investigations, litigation, or prosecutions brought by the Alabama Securities Commission and any other law enforcement authority related to this matter and any other investments made by Investor; and

WHEREAS, **RESPONDENTS** voluntarily waive all rights to a hearing upon entry of this Order and has consented to the entry of this Order, and

WHEREAS, the Commission finds this Order necessary and appropriate in the public interest for the protection of investors, and consistent with the purposes fairly intended by the policy and provisions of the Act;

WHEREAS, the Commission and **RESPONDENTS** are desirous of settling this matter as hereafter set forth and agreed.

ACCORDINGLY, IT IS HEREBY ORDERED:

1. that **RESPONDENTS CEASE AND DESIST** from engaging in securities activities into, within, or from the state of Alabama; and
2. that **RESPONDENTS** shall comply with their agreements set forth in paragraphs b-d above.

AGREED AND CONSENTED to on the date indicated:

RESPONDENTS

ANTHONY DEWAYNE CURTIS
Individually and on behalf of Business Makeover LLC



DATE: July 21, 2024

APPROVED AND AGREED this 29th day of July, 2024

ALABAMA SECURITIES COMMISSION
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BY: 
AMANDA SENN
Director