



**Alabama Securities Commission
Financial Exploitation Division Report Form**

SECTION I

Date of Report:

SECTION II - PERSON IDENTIFIED AT RISK OF EXPLOITATION

Name:

Sex:

Date of Birth:

Race:

Street Address:

Phone:

Email:

Section III - ALTERNATE CONTACT:

Next of Kin (Name and relationship):

Phone number for Next of Kin:

Email Address for Next of Kin:

Address for Next of Kin:

Responsible Party (if applicable) POA/Guardian/Conservator:

Phone number for Responsible Party:

Email Address for Responsible Party:

Address for Responsible Party:

SECTION IV – PERSON ALLEGEDLY RESPONSIBLE FOR EXPLOITATION

Name:

Sex:

Race:

Date of Birth:

Relationship with Victim:

Address:

Phone:

Email:

SECTION V – CIRCUMSTANCES OF PERSON IDENTIFIED AT RISK

Person Identified at Risk (select all descriptions that apply):

Physical Dependence

Behavioral Disorders

Substance Abuse

Emotional Problems

Intellectual Disability

Age Related Cognitive Decline

Economic Dependence

Not Applicable

SECTION VI – REPORTER – IF OTHER THAN VICTIM

Name of Reporter:

Address of Reporter:

Phone Number of Reporter:

Relationship of Reporter to victim:

Additional Witnesses/How to Contact:

Financial Records Attached:

SECTION VII - INCIDENT

Date of Incident:

Time:

SECTION VIII – PLEASE DESCRIBE THE INCIDENT

Details of Incident: