Alabama Securities Commission Statement of Prior Money Transmission Activity

Instructions: All applicants must provide the following attested statement signed by an officer. Please send the completed/signed statement and if necessary, a list of Alabama clients to the Alabama Securities Commission via email to asc@asc.alabama.gov.

_____ [has] or [has not] provided any money transmissions in the State
[Applicant Name]

of Alabama prior to licensing.

_____ _____
Officer Signature Date

If you have provided any money transmissions in the State of Alabama prior to licensing, please complete the following table. If additional space is needed, please attach as additional pages.

Client Name	Address	Type of Transmission	Time Period	Total $ amount of sales	Total $ amount of fees charged