

ALABAMA SECURITIES COMMISSION

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Alabama Securities Commission Appoints Former CFTC Senior Trial Attorney JonMarc Buffa as General Counsel

Montgomery, Alabama (October 19, 2026) – Alabama Securities Commission (ASC) Director Amanda Senn announced today her appointment of JonMarc P. Buffa, a veteran federal litigator and senior regulatory attorney, as General Counsel. Buffa joins ASC following an accomplished 18-year tenure at the U.S. Commodity Futures Trading Commission (CFTC), where he served in multiple leadership roles and was a key figure in national efforts to combat financial fraud and strengthen market integrity.

Buffa began his CFTC service in 2008 and went on to hold several high impact positions across the agency. His roles included leading the Office of Cooperative Enforcement; serving as Senior Counsel to Commissioner Summer K. Mersinger; serving as Counsel to Enforcement Directors Ian McGinley and Brian Young; and acting as Special Counsel and Policy Advisor to Commissioner Scott D. O'Malia. He contributed to major Dodd-Frank rulemakings and served on the Technology Advisory Committee as well as the Energy and Environmental Markets Advisory Committee, helping shape policy on emerging financial technologies and market risks.



A seasoned litigator with more than 25 years of experience, Buffa has led complex civil enforcement actions in federal courts nationwide. He served as lead counsel in some of CFTC's most significant matters, including the \$7 billion fraud case *CFTC et al. v. WG Trading*, and the landmark \$150 million precious metals fraud case *CFTC et al. v. Metals.com*. The Metals.com action, brought jointly by the CFTC and 30 state securities regulators, including the ASC, remains the largest joint filing between the CFTC and state regulators in history.

In addition to his CFTC work, Buffa has spent 15 years as an adjunct professor at Georgetown University Law Center, teaching courses on the regulation of financial markets, institutions, and innovative financial products, such as cryptocurrency and emerging fintech. He is widely recognized as a subject matter expert in derivatives, commodities, digital assets, banking, and securities law.

Buffa earned his Juris Doctor from Notre Dame Law School and graduated *cum laude* from Boston College's Carroll School of Management with a double major in Finance and Marketing.

"Throughout his career, JonMarc Buffa has played a significant role in combating financial fraud while working collaboratively with industry to promote transparency and integrity in our nation's capital and derivatives markets," said ASC Director Amanda Senn. "His deep enforcement experience, strategic insight, and commitment to public service will further strengthen the Alabama Securities Commission's ability to safeguard Alabamians from financial fraud and hold those who violate the law accountable to the fullest extent permitted by law. We are pleased to welcome JonMarc and his family to Alabama and look forward to his leadership as our new General Counsel."

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For further information, contact 1-800-222-1253 and ask for Public Affairs.